

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Dalton, et al.

Confirmation No.:

Application No.:

Examiner:

Filing Date: Feb. 14, 2002

Group Art Unit:

Title: Transmission Controls on E-Mails

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EL891518205US**

Date of Deposit Feb. 14, 2002

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By Julie Campbell
Typed Name: **Julie Campbell**

Respectfully submitted,

By

Scott A. Horstemeyer
Scott A. Horstemeyer

Attorney/Agent for Applicant(s)
Reg. No. **34,183**

Date:

#3
10/075417
02/14/02

(Use several sheets if necessary)

Feb. 14, 2002

CONFIRMATION NO:

10/075417
02/14/02

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	5,781,901	07/14/98	Kuzma	707	10
	1B	5,903,723	05/11/99	Beck et al.	395	200.3
	1C					
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L	GB2340357A~	02/16/00	Newton	H04L	12/58	x	
	1M	EP1058199A2	12/06/00	Fields et al.	G06F	17/60	X	
	1N	EP0899957A2	03/03/99	Goldrian, et al.	G06F	9/46	X	
	1O	WO00/67133	11/09/00	Pollack	G06F	13/00	X	
	1P	WO00/51030	08/31/00	Kobata	G06F	17/30	x	

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	
1R	
1S	

DATE CONSIDERED

PATENT APPLICATION

Sheet 2 of 2

FORM PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

30006601-2

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Dalton et al.

FILING DATE

Feb. 14, 2002

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	2A					
	2B					
	2C					
	2D					
	2E					
	2F					
	2G					
	2H					
	2I					
	2J					
	2K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	2L	W000/51029	08/31/00	Kobata	G06F	17/30	x	
	2M							
	2N							
	2O							
	2P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

EXAMINER

DATE CONSIDERED